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Docket No. 205429US0
IN RE APPLICATION OF: Masayuki MOTONARI, et al.
SERIAL NO: 09/820,749
FILED: March 30, 2001
FOR: AQUEOUS DISPERSION FOR CHEMICAL MECHANICAL POLISHING

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:
Transmitted herewith is an **Preliminary Amendment and Response to Election of Species Requirement with Marked-Up Copy (14 pp.)** in the above-identified application.

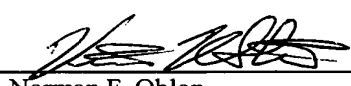
- ☐ No additional fee is required
☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
☐ Additional documents filed herewith:

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	54	MINUS	30	24	× \$18 =	\$432.00
INDEPENDENT	14	MINUS	7	7	× \$84 =	\$588.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$280 =	\$0.00
		TOTAL OF ABOVE CALCULATIONS				\$1,020.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00
		TOTAL				\$1,020.00

- ☒ A check in the amount of **\$1,020.00** is attached.
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


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Registration No. 24,618

Vincent K. Shier, Ph.D.
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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :

Masayuki MOTONARI, et al.

: EXAMINER: WOOD

SERIAL NO: 09/820,749 :

FILED: MARCH 30, 2001

: ART UNIT: 1755

FOR: AQUEOUS DISPERSION FOR CHEMICAL MECHANICAL POLISHING

PRELIMINARY AMENDMENT AND RESPONSE TO ELECTION
OF SPECIES REQUIREMENT

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Responsive to the Official Action dated July 19, 2002, Applicants elect, with traverse, "A heterocyclic compound with a heteropentacycle, with no benzene ring forming the skeleton, and with a functional group," for further prosecution. If further election is required, Applicants elect, with traverse, 7-hydroxy-5-alkyl-1,3,4-triazaindolizine, for further prosecution. And, if even further election is deemed necessary, Applicants elect with traverse, 7-hydroxy-5-methyl-1,3,4-triazaindolizine, for further prosecution. Claims 1-54 read on the elected species. In addition, Applicants respectfully request entry of the following amendment.

00/E1/2002 MEMORANDUM 00000152 09820749

01 FD:103
02 FD:102

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